

Federal Fiscal Year 2015 Adoption Savings Data

Background

Since federal fiscal year (FFY) 2010, the title IV-E Adoption Assistance program has provided expanded eligibility provisions for any child who meets the criteria for an “applicable child” as defined in section 473(e) of the Social Security Act (the Act). Use of the applicable child eligibility provisions (section 473(a)(2)(A)(ii) of the Act) tends to result in more children being determined as title IV-E eligible since it delinks eligibility for the title IV-E Adoption Assistance program from the Aid to Families with Dependent Children (AFDC) requirements, among other changes. (See Program Instruction (PI) [ACYF-CB-PI-09-10](#), dated August 29, 2009, for more details on the applicable child eligibility criteria.)

Federal law (section 473(a)(8) of the Act) requires title IV-E agencies to spend an amount equal to any savings they achieve, as a result of applying the differing program eligibility criteria to applicable children, for other child welfare service activities permitted under titles IV-B or IV-E of the Act. These funds are referred to as “Adoption Savings.” The amount of Adoption Savings is calculated from an assessment of title IV-E Adoption Assistance program claims made on behalf of those children who, absent the applicable child eligibility criteria, would not have been determined eligible for title IV-E adoption assistance. The savings is generally equal to the federal share of these claims since, in most instances, that amount would otherwise have been paid from non-federal title IV-E agency funds.

To implement the Adoption Savings requirements, the Children’s Bureau issued PIs providing detailed guidance on the calculation, expenditure and reporting of Adoption Savings and developed a new annual reporting form, designated as Form CB-496 Part 4, to collect the information. See program instructions [ACYF-CB-PI-15-06](#) and [ACYF-CB-PI-15-09](#) for details on these instructions.

Beginning with FFY 2015, title IV-E agencies are required to calculate and report annually their Adoption Savings, the methodology used to calculate the savings, how savings are spent, and on what services. A portion of the expenditures of Adoption Savings funds must be used for specified services.

In calculating Adoption Savings, title IV-E agencies must use a methodology specified by the Administration for Children and Families Children’s Bureau (CB) or may propose an alternative for approval. The methodology developed and issued by CB is referred to as “the CB Method.” This method could be applied either to a sample of cases or to the full universe of cases if the agency was able to access actual figures for needed information without conducting a special review.

The statute also requires that the annual Adoption Savings information reported by state and tribal title IV-E agencies be posted on the CB website. This publication represents the first annual report of Adoption Savings.

FFY 2015 Adoption Savings Highlights

The Children's Bureau has compiled key data from each title IV-E agency's report of Adoption Savings, as reported on the agency's Form CB-496 Part 4 submission. Some highlights of the FFY 2015 Adoption Savings reporting include:

- All 55 state and tribal title IV-E agencies required to submit a report for FFY 2015 did so. Forty-eight (48) title IV-E agencies calculated Adoption Savings using the CB Method (with 15 using sampling and 33 actual amounts). Seven (7) title IV-E agencies calculated Adoption Savings using an Alternate Approved Method.
- These 55 agencies reported calculating a total of **\$74,402,861** in Adoption Savings associated with **14,487** children.
- Of the FFY 2015 calculated Adoption Savings funds, **\$28,916,487** was reported as expended in FFY 2015. The majority of these expenditures (59%) were for Post-Adoption or Post-Guardianship Services. The remaining total of unexpended FFY 2015 calculated Adoption Savings is **\$45,486,374**. These funds must be expended in a future FFY.

Information on each title IV-E agency's calculated Adoption Savings is contained in Table 1 and the expenditure information in Table 2, shown below.

**Federal Fiscal Year (FFY) 2015
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: June 7, 2016

Table 1 - FFY 2015 Calculated Adoption Savings

State/Tribe	Calculation Method - Children's Bureau (CB); CB - Actual Amounts; Alternate Approved	Average Monthly Number of Children "Applicable Child Only" Status	Calculated Savings from Adoption Assistance Payments	Calculated Savings from Adoption Administration	Total FFY 2015 Calculated Adoption Savings - All Funding Categories
Alabama	Children's Bureau	99	\$409,634	\$30,517	\$440,151
Alaska	CB - Actual Amounts	77	364,477	36,141	\$400,618
Arizona	Children's Bureau	258	1,499,230	141,279	\$1,640,509
Arkansas	CB - Actual Amounts	230	705,218	38,220	\$743,438
California	Alternate Approved	1,012	5,485,122	1,057,594	\$6,542,716
Colorado	CB - Actual Amounts	139	277,089	31,770	\$308,859
Connecticut	CB - Actual Amounts	139	937,560	422,992	\$1,360,552
Delaware	CB - Actual Amounts	5	29,271	3,071	\$32,342
District of Columbia	CB - Actual Amounts	0	0	0	\$0
Florida	Children's Bureau	795	2,433,517	969,468	\$3,402,985
Georgia	Children's Bureau	365	1,559,396	109,259	\$1,668,655
Hawaii	CB - Actual Amounts	26	159,735	6,329	\$166,064
Idaho	CB - Actual Amounts	32	115,851	21,779	\$137,630
Illinois	CB - Actual Amounts	809	3,080,772	790,303	\$3,871,075
Indiana	Children's Bureau	493	2,457,254	175,669	\$2,632,923
Iowa	Children's Bureau	197	881,212	90,839	\$972,051
Kansas	CB - Actual Amounts	217	607,665	41,425	\$649,090
Kentucky	Children's Bureau	583	4,245,429	39,577	\$4,285,006
Louisiana	CB - Actual Amounts	177	555,327	104,987	\$660,314
Maine	CB - Actual Amounts	79	391,057	42,001	\$433,058
Maryland	CB - Actual Amounts	94	504,630	9,715	\$514,345
Massachusetts	Children's Bureau	0	0	0	\$0
Michigan	CB - Actual Amounts	862	5,099,711	899,849	\$5,999,560
Minnesota	CB - Actual Amounts	159	622,684	224,349	\$847,033
Mississippi	CB - Actual Amounts	1	6,003	1,143	\$7,146
Missouri	CB - Actual Amounts	292	548,300	164,303	\$712,603
Montana	CB - Actual Amounts	35	144,453	22,273	\$166,726
Nebraska	Alternate Approved	179	671,856	70,522	\$742,378
Nevada	CB - Actual Amounts	140	664,801	136,772	\$801,573
New Hampshire	CB - Actual Amounts	5	12,426	2,835	\$15,261
New Jersey	CB - Actual Amounts	52	263,069	99,277	\$362,346
New Mexico	Children's Bureau	18	84,828	13,054	\$97,882
New York	Children's Bureau	736	4,573,017	113,021	\$4,686,038
North Carolina	Children's Bureau	406	1,886,818	128,866	\$2,015,684
North Dakota	Alternate Approved	48	267,448	55,732	\$323,180
Ohio	Alternate Approved	1,214	1,415,152	3,092,773	\$4,507,925
Oklahoma	CB - Actual Amounts	630	2,404,351	414,773	\$2,819,124
Oregon	CB - Actual Amounts	271	1,265,665	202,104	\$1,467,769
Pennsylvania	Alternate Approved	51	1,959,925	150,064	\$2,109,989
Puerto Rico	CB - Actual Amounts	0	0	0	\$0
Rhode Island	Children's Bureau	17	64,100	10,383	\$74,483
South Carolina	Children's Bureau	118	391,733	101,373	\$493,106
South Dakota	CB - Actual Amounts	40	134,071	9,837	\$143,908
Tennessee	CB - Actual Amounts	537	3,469,889	26,007	\$3,495,896
Texas	Alternate Approved	1,724	5,205,705	412,870	\$5,618,575
Utah	Alternate Approved	159	446,487	23,750	\$470,237
Vermont	CB - Actual Amounts	21	95,422	2,954	\$98,376
Virginia	Children's Bureau	327	2,241,899	298,154	\$2,540,053
Washington	CB - Actual Amounts	199	601,301	98,087	\$699,388
West Virginia	CB - Actual Amounts	316	1,373,531	11,390	\$1,384,921
Wisconsin	CB - Actual Amounts	104	769,484	69,836	\$839,320
Wyoming	Children's Bureau	0	0	0	\$0
Chickasaw Nation*1	No Report Required	No Data	No Data	No Data	No Data
Keweenaw Bay Indian Comm.	CB - Actual Amounts	0	0	0	\$0
Navajo Nation	CB - Actual Amounts	0	0	0	\$0
Port Gamble S'Klallam Tribe	CB - Actual Amounts	0	0	0	\$0
TOTALS		14,487	\$63,383,575	\$11,019,286	\$74,402,861

*1- The Chickasaw Nation's title IV-E plan was approved with an effective date of July 1, 2015. The tribe, however, did not report any title IV-E claims for the Adoption Assistance program on form CB-496 for FFY 2015 reporting periods and thus is not subject to adoption savings reporting for FFY 2015.

**Federal Fiscal Year (FFY) 2015
Annual Adoption Savings Calculation and Accounting Report (Form CB-496 Part 4)**

Reported Data As Of: June 7, 2016

Table 2 - FFY 2015 Expenditure of Calculated Adoption Savings

State/Tribe	Total FFY 2015 Calculated Adoption Savings - All Funding Categories	Expenditures on Post-Adoption or Post-Guardianship Services	Expenditures on Services for Children At-Risk of Foster Care	Expenditures on Other Title IV-B or Title IV-E Allowable Services	Total Expenditures of FFY 2015 Calculated Savings - All Identified Services	Unexpended Balance (Total FFY 2015 Savings less Total Expenditures)
Alabama	\$440,151	\$436,145	\$0	\$0	\$436,145	\$4,006
Alaska	400,618	100,214	300,404	0	400,618	\$0
Arizona	1,640,509	1,640,509	0	0	1,640,509	\$0
Arkansas	743,438	114,705	114,701	480,049	709,455	\$33,983
California	6,542,716	0	0	0	0	\$6,542,716
Colorado	308,859	0	0	0	0	\$308,859
Connecticut	1,360,552	96,071	462,902	0	558,973	\$801,579
Delaware	32,342	0	0	0	0	\$32,342
District of Columbia	0	0	0	0	0	\$0
Florida	3,402,985	2,452,013	950,972	0	3,402,985	\$0
Georgia	1,668,655	1,535,084	0	133,571	1,668,655	\$0
Hawaii	166,064	0	0	0	0	\$166,064
Idaho	137,630	0	0	0	0	\$137,630
Illinois	3,871,075	966,402	194,921	2,709,752	3,871,075	\$0
Indiana	2,632,923	0	0	0	0	\$2,632,923
Iowa	972,051	0	0	0	0	\$972,051
Kansas	649,090	0	0	0	0	\$649,090
Kentucky	4,285,006	0	0	0	0	\$4,285,006
Louisiana	660,314	198,094	0	462,220	660,314	\$0
Maine	433,058	433,058	0	0	433,058	\$0
Maryland	514,345	0	51,434	360,042	411,476	\$102,869
Massachusetts	0	0	0	0	0	\$0
Michigan	5,999,560	5,999,560	0	0	5,999,560	\$0
Minnesota	847,033	306,110	0	0	306,110	\$540,923
Mississippi	7,146	0	0	0	0	\$7,146
Missouri	712,603	0	0	0	0	\$712,603
Montana	166,726	2,000	0	0	2,000	\$164,726
Nebraska	742,378	440,989	230,867	0	671,856	\$70,522
Nevada	801,573	160,315	240,472	400,786	801,573	\$0
New Hampshire	15,261	6,976	8,285	0	15,261	\$0
New Jersey	362,346	0	0	0	0	\$362,346
New Mexico	97,882	19,866	78,016	0	97,882	\$0
New York	4,686,038	0	0	0	0	\$4,686,038
North Carolina	2,015,684	0	0	0	0	\$2,015,684
North Dakota	323,180	0	0	0	0	\$323,180
Ohio	4,507,925	0	0	0	0	\$4,507,925
Oklahoma	2,819,124	0	0	0	0	\$2,819,124
Oregon	1,467,769	0	0	0	0	\$1,467,769
Pennsylvania	2,109,989	0	0	0	0	\$2,109,989
Puerto Rico	0	0	0	0	0	\$0
Rhode Island	74,483	0	0	0	0	\$74,483
South Carolina	493,106	0	0	0	0	\$493,106
South Dakota	143,908	143,908	0	0	143,908	\$0
Tennessee	3,495,896	700,000	380,014	2,415,882	3,495,896	\$0
Texas	5,618,575	0	161,858	400,000	561,858	\$5,056,717
Utah	470,237	0	238,400	0	238,400	\$231,837
Vermont	98,376	98,376	0	0	98,376	\$0
Virginia	2,540,053	254,977	0	594,946	849,923	\$1,690,130
Washington	699,388	180,391	0	420,910	601,301	\$98,087
West Virginia	1,384,921	0	0	0	0	\$1,384,921
Wisconsin	839,320	839,320	0	0	839,320	\$0
Wyoming	0	0	0	0	0	\$0
Chickasaw Nation*1	No Data	No Data	No Data	No Data	No Data	No Data
Keweenaw Bay Indian Comm.	0	0	0	0	0	\$0
Navajo Nation	0	0	0	0	0	\$0
Port Gamble S'Klallam Tribe	0	0	0	0	0	\$0
TOTALS	\$74,402,861	\$17,125,083	\$3,413,246	\$8,378,158	\$28,916,487	\$45,486,374

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